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Mr. Janvey is a Partner with Krage & Janvey, L.L.P. in Dallas, Texas and is Special Counsel to Janvey, Gordon, Herlands, Randolph & Cox, L.L.P. in New York, New York. Mr. Janvey was a consultant to Patton Boggs L.L.P. on securities issues, including hedge funds, 1940 Act, and commodity matters. Prior to joining Krage & Janvey, L.L.P. In 1980, Mr. Janvey served as a Staff Attorney and, eventually, the Assistant Director of Securities for the United States Comptroller of the Currency in Washington, D.C.

Mr. Janvey's practice is concentrated in the areas of SEC and state securities compliance and enforcement matters, public and private financing transactions, corporate governance and fiduciary duties, and organizational structuring issues. He has represented clients (primarily issuers, investment advisers, broker-dealers, and accountants) in connection with legal problems involving the full range of corporate and securities law compliance and enforcement issues. He has also served as a SEC and court appointed equity receiver.

Mr. Janvey has served since 1990 as an Adjunct Professor of Law for Southern Methodist University teaching in the areas of corporate planning and regulation of the securities and commodities markets.

Mr. Janvey has authored [Regulation of the Securities and Commodities Markets](#) for Warren Gorham & Lamont, Inc.; [Securities Offerings and the Certified Public Accountant](#) and [SEC Accounting and Reporting](#) for the Professional Development Institute and the Texas Society of Certified Public Accountants; and the [Accountant's Liability Manual](#) for Clark Boardman Callaghan. He has served as a Member of the Board of Editors of the [Journal of Corporate Confidentiality](#) and is a Member of the Board of Editors of the [Securities Regulation Law Journal](#). He has also authored approximately 20 articles on topics dealing with investment adviser and broker-dealer regulatory issues, Sarbanes-Oxley's impact on accountants, public and private financing transactions, SEC reporting, and banking issues. He has further served as a speaker on numerous topics, including those relating to investment advise and broker-dealer regulatory issues, Sarbanes-Oxley issues, accountants' audit liability issues, hedge funds, Regulation S issues, public and private financing transaction, and receiverships. He is currently writing a new book entitled [The Changing Environment of Hedge Funds](#) for the Law Journal Press due to be released in 2006.

Mr. Janvey has served as a consultant to the Securities Regulation Reporter published by Warren, Gorham, & Lamont, Inc. and as an expert witness for the United States Department of Labor and in NASD arbitrations for major New York broker-dealers. He also regularly serves as an expert witness on securities law issues in civil litigation. He has served the NASD as an arbitrator since 1992.

Mr. Janvey received his undergraduate degree at the University of Wisconsin and his law degree at Southern Methodist University in Dallas, Texas. He received his L.L.M. in Securities Law at Georgetown University Law Center in Washington, D.C.

Areas of Practice:

Securities
Corporate Law

Bar Admissions:

Texas, 1976

Professional Associations and Memberships:

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Member

American Bar Association



American Bar Association
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The Dallas, Texas law firm of Krage & Janvey, LLP provides representation for business and commercial clients throughout the United States including Fort Worth, Houston, San Antonio, and Austin, Texas, as well as in major cities such as Oklahoma City, New York, Denver, Boston, and Washington DC.

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